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PTO/SB/01 (12-97)

Approved for use through 8/30/00. OMB 0551-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	Attorney Docket Number	AB-1029 US
	First Named Inventor	Nieto et al.
	<b>COMPLETE IF KNOWN</b>	
	Application Number	/
	Filing Date	
	Group Art Unit	Not yet assigned
<input type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
Examiner Name	Not yet assigned	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MATERIALS AND METHODS RELATING TO ANTI-INOSITOLPHOSPHOGLYCAN ANTIBODIES**

the specification of which

(Title of the invention)

☐ is attached hereto  
OR

☒ was filed on (MM/DD/YYYY) 03/18/1999

as United States Application Number or PCT International

Application Number PCT/GB99/00844 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/GB99/00844	PCT	03/18/1999	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9805739.1	GB	03/18/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
9811000.0	GB	05/21/1998	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

(July 1998)



Please type a plus sign (+) inside this box ☒PTO/SB/01 (12-97)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/GB99/00844	03/18/1999	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  OR ☐ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Emily M. Haliday	38,903	Norman R. Klivans	33,003
David W. Heid	25,875	Edward C. Kwok	33,938
Tom Hunter	38,498	Alan H. MacPherson	24,423

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number  OR ☒ Correspondence address below

Name	Emily M. Haliday				
Address	Skjerven, Morrill, MacPherson, LLP				
Address	25 Metro Drive, Suite 700				
City	San Jose	State	CA	ZIP	95110
Country	US	Telephone	(408) 453-9200	Fax	(408) 453-7979

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))			Family Name or Surname		
Isabel Varela			Nieto Varela - Nieto		
Inventor's Signature	X	John W. Lib	X	Date	27-01-00
Residence: City	Madrid	State		Country	Spain
Post Office Address	Fernandez de los Rios 93				
Post Office Address	2° A				
City	Madrid	State		ZIP	28015
				Country	Spain

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

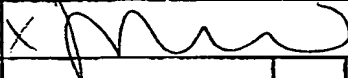
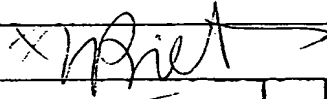
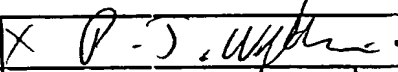
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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 4 of 5

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Jose				Mato			
Inventor's Signature	X 			X		Date	15/9/02
Residence: City	Navarra	State		Country	Spain	Citizenship	ES
Post Office Address	Esquiroz Bidea 4						
Post Office Address	P-10, Cizur Menor						
City	Navarra	State		ZIP	31190	Country	Spain
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Jesus				Prieto			
Inventor's Signature	X 			X		Date	25/9/00
Residence: City	Pamplona	State		Country	Spain	Citizenship	ES
Post Office Address	Tudela 22, 4						
Post Office Address							
City	Pamplona	State		ZIP	31002	Country	Spain
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Phillip				Williams			
Inventor's Signature	X 			X		Date	11/9/02
Residence: City	Oxford	State		Country	United Kingdom	Citizenship	GB
Post Office Address	29 Eynsham Road						
Post Office Address	Botley						
City	Oxford	State		ZIP	OX2 9B5	Country	United Kingdom

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>5</u> of <u>5</u>
--------------------	--

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Thomas William				Rademacher			
Inventor's Signature				Date		3/10/00	
Residence: City	Oxford	State		Country	United Kingdom	Citizenship	US
Post Office Address							
Foxcomb, The Ridgeway							
Post Office Address							
Boars Hill							
City	Oxford	State		ZIP	OX1 5EX	Country	United Kingdom
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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(July 1998)



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JUNE 13, 2002

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QUINE INTELLECTUAL PROPERTY  
LAW GROUP, P.C.  
EMILY M. HALIDAY  
P.O. BOX 458  
ALAMEDA, CA 94501

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JUN 21 2002

Chief Information Officer  
Washington, DC 20231  
www.uspto.gov

Quine Intellectual Property



\*102059618A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 04/04/2002

REEL/FRAME: 012791/0113  
NUMBER OF PAGES: 2

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RADEMACHER GROUP LIMITED

DOC DATE: 10/08/2001

ASSIGNEE:

RODARIS PHARMACEUTICALS LIMITED  
FLOREY HOUSE  
OXFORD SCIENCE PARK  
OXFORD, OX4 4GA, UNITED KINGDOM

SERIAL NUMBER: 09646468  
PATENT NUMBER:

FILING DATE: 10/26/2000  
ISSUE DATE:

PEARLENE FOSTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

74-18-2002

Attorney Docket No. 1012E-102900US

FORM PTO-1595  
(Rev. 6-93)

Sheet

U.S. Department of Commerce  
Patent and Trademark Office

102059618

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Rademacher Group Limited

4-4-02

Additional name(s) of conveying parties attached? No

2. Name and address of receiving party(ies)

Name: Rodaris Pharmaceuticals Limited

Internal Address:

Street Address:

Florey House  
Oxford Science Park  
Oxford, OX4 4GA  
United Kingdom

Additional names and addresses attached? No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☒ Change of Name
- ☐ Other:

Execution Date: October 8, 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/646,468

B. Patent No(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

QUINE INTELLECTUAL PROPERTY  
LAW GROUP, P.C.  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.*

Emily M. Haliday

Name of Person Signing

Signature

Date

Atty Reg. No. 38,903

Total number of pages including cover sheet, attachments and document 2

10. Change Correspondence Address to that of Part 5? ☒ Yes ☐ No

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FINANCE SECTION

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LAW OFFICES OF JONATHAN ALAN QUINE

By

*Evelyn Gomez*

Attorney Docket No. 1012E-102900US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent/application of:

Patent No.:

Application No.: 09/646,468

Filed:

For: Materials and Methods Relating to Anti-  
inositolphosphoglycan Monoclonal  
Antibodies

APPOINTMENT OF ASSOCIATE  
ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby appoint the attorneys and agents at Customer Number 22798 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. The attorneys and agents at Customer Number 22798 include:

Jonathan Alan Quine, Ph.D., Reg. No. 41,261; Stacy Landry, Ph.D., Reg. No. 42,779; Christopher C. Sappenfield, Reg. No. 45,073; Gwynedd Warren, Reg. No. 45,200; Angela P. Horne, Reg. No. 41,079, Stephen J. LeBlanc, Reg. No. 36,579, Tom Hunter, Ph.D., Reg. No. 38,498, and Emily Haliday, Ph.D., Reg. No. 38,903.



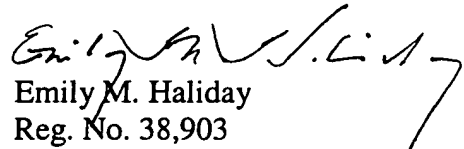
Patent No.:  
Application No.: 09/646,468  
Page 2

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Please direct all future correspondence regarding the subject application to  
CUSTOMER NUMBER 22798, that is:

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871/Fax (510) 337-7877

Respectfully submitted,

  
Emily M. Haliday  
Reg. No. 38,903

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877 ,

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Under the Patent Rights Act of 1980, an owner is required to report to the Patent Office any change in ownership of a patent or any change in the name of the owner of a patent.

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
(37 CFR 1.201) & 1.27(c) - SMALL BUSINESS CONCERN

Applicant/Patentee/Inventor: Rademacher Group Limited  
 Appointing Patentee: Not yet assigned  
 Publication: March 18, 1999 AR 102/1589/00044  
 Title: IMPROVEMENTS IN METHODS RELATING TO ARTI-TRUSTOL/PROBENECID/CAIN

**ATTORNEYS**  
☐ I hereby state that I am  
☐ the owner of the small business concern identified below  
☐ an officer of the small business concern empowered to act on behalf of the concern identified below

**NAME OF SMALL BUSINESS CONCERN** Rademacher Group Limited  
**ADDRESS OF SMALL BUSINESS CONCERN** 6 St. Andrew Street, London EC4A 3JX, United Kingdom

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 1.201 for purposes of paying reduced fees in the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 408 Third Street, SW, Washington, DC 20540.

I hereby state that rights under contract or law have been assigned to and remain with the small business concern identified above with regard to the invention described in:

☐ One specification filed herewith and the as listed above.  
☐ The application identified above.  
☐ One patent identified above.

If the rights held by the above identified small business concern are not exclusive, such limited, co-owners, or organization having rights in the invention and the respective components do to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.201(d) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.201(d), or a nonprofit organization under 37 CFR 1.201(d).

☐ Each person, concern, or organization having any rights in the invention is listed below.  
☐ The small business concern, or organization exists.  
☐ Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights in the invention stating their status as small entities. (37 CFR 1.201)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the fee(s) of this issue for or any subsequent issue after the date on which status as a small entity is no longer appropriate. (37 CFR 1.280(d))

**NAME OF PERSON SIGNING** THOMAS RADEMACHER  
**TITLE OF PERSON IF OTHER THAN OWNER** DIRECTOR  
**ADDRESS OF PERSON SIGNING** 6 St. Andrew St., London EC4A 3JX, United Kingdom  
**SIGNATURE** [Signature] **DATE** 18/9/02

Search Your Signature: This area is reserved for use by the Patent Office. You may use this area to provide information about the inventor's past, present, and future work. If you are required to complete this area, please do so in the Small Business Concern, Patent and Trademark Office, Washington, DC 20540. Do not send your completed form to this address. Send to: Assistant Commissioner for Patent, Washington, DC 20540.

/s/ [Signature]



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 18, 2001

PTAS  
LAW OFFICES OF JONATHAN ALAN QUINE  
EMILY M. HALIDAY  
P.O. BOX 458  
ALAMEDA, CA 94501



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JONATHAN ALAN QUINE

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RECORDATION DATE: 04/23/2001

REEL/FRAME: 011753/0110  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

NIETO, ISABEL VARELA

DOC DATE: 03/21/2000

ASSIGNOR:

MATO, JOSE

DOC DATE: 12/07/2000

ASSIGNOR:

PRIETO, JESUS

DOC DATE: 12/07/2000

ASSIGNOR:

WILLIAMS, PHILLIP

DOC DATE: 03/28/2001

ASSIGNOR:

RADEMACHER, THOMAS WILLIAM

DOC DATE: 04/04/2001

ASSIGNEE:

RADEMACHER GROUP LIMITED  
6 ST. ANDREW STREET  
LONDON EC4A 3LX, UNITED KINGDOM

011753/0110 PAGE 2

SERIAL NUMBER: 09646468  
PATENT NUMBER:

FILING DATE: 10/26/2000  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

7123101

05-07-2001



torney Docket No. 1012E-102900US

FORM PTO-1595  
(Rev. 8-93)101704790  
(Patents Only)U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Nieto, Isabel Varela

Additional name(s) of conveying parties attached? Yes

2. Name and address of receiving party(ies)

Name: Rademacher Group Limited

Internal Address:

Street Address:

6 St. Andrew Street  
London EC4A 3LX  
United Kingdom

Additional names and addresses attached? No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: April 4, 2001

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/646,468  
Additional numbers attached? No

B. Patent No(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Emily M. Haliday  
Law Offices of Jonathan Alan Quine  
P.O. Box 458  
Alameda, CA 94501  
(510) 337-7871

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed ☒ Charge Fees to Deposit Account

X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 50-0893

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Emily M. Haliday  
Name of Person Signing

Signature

Apr 17, 2001  
Date

Atty. Reg. No. 38,903

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Washington, D.C. 20231

05/04/2001 6TDH11 00000229 500893 09646468

01 FC:581 40.00 CH

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Mato, Jose  
Prieto, Jesus  
Williams, Phillip  
Rademacher, Thomas William

A. Patent Application No.(s)

B. Patent No.(s)

# ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Isabel Varela Nieto, Jose Mato, Jesus Prieto,  
Phillip Williams, and Thomas William Rademacher

hereby sell, assign and transfer to Rademacher Group Limited, a corporation, having a place of business at 6 St. Andrew Street, London, EC4A 3LX, United Kingdom, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

## MATERIALS AND METHODS RELATING TO ANTI- INOSITOLPHOSPHOGLYCAN ANTIBODIES

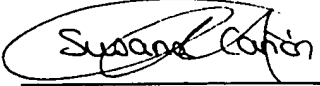
for which we filed a United States Patent Application on September 18, 2000, which has Serial No. 09/646,468, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 21 day of March, 2000.

  
Isabel Varela Nieto

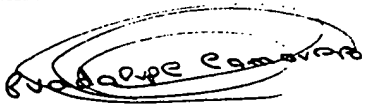
Witnessed By:

SUSANA CAÑÓN  
Name

  
Signature


21 / March / 2000  
Date

GUADALUPE CAMARERO  
Name

  
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21 / March / 2000  
Date

Executed this 7 day of December, 2000.

  
Jose Mato

Witnessed By:

MATIAS A. AVILA


Name

  
Signature

7/12/2000  
Date

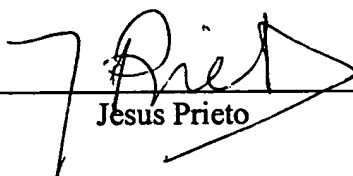
FERNANDO J. GERRALES

Name

  
Signature

7/12/2000  
Date

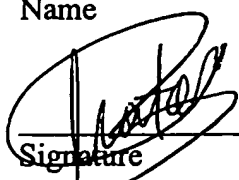
Executed this 7 day of December, 2000.

  
Jesus Prieto

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Executed this 28 day of March, 2000<sup>1</sup>

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28.3.01

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28<sup>th</sup> MARCH 2001

Date

Executed this 4 day of April, 2000<sup>1</sup>

Thomas William Rademacher

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